

ATTN: APPLICATION BRANCH

**THIS IS A RESPONSE TO A  
NOTICE TO FILE MISSING  
PARTS OF AN APPLICATION**

ATTORNEYS AT LAW

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Inventor: Tetsuya KAWANISHI  
Serial No: 10/099,957  
Filed: March 19, 2002  
For: OPTICAL FREQUENCY CONVERTER

SIR:

Attached hereto for filing are the following papers:

Notice to File Missing Parts, Filing of Certified English Translation, Certification of English Translation, Certified English Translation (21 pages), Letter to Official Draftsman, Substitute Formal Drawings (5 sheets)

Our check in the amount of \$0.00 is attached covering any required fees. In the event that any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Marvin J. Spivak  
Registration No. 24,913

Joseph A. Scafetta, Jr.  
Registration No. 26,803

Docket No. 221027US2



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 12/01)

Docket No: 221027US2



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: :  
Tetsuya KAWANISHI :  
SERIAL NO: 10/099,957 : ATTN: BOX MISSING PART  
FILED: March 19, 2002 :  
FOR: OPTICAL FREQUENCY CONVERTER :

**FILING OF CERTIFIED ENGLISH TRANSLATION UNDER 37 CFR 1.52(d)**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

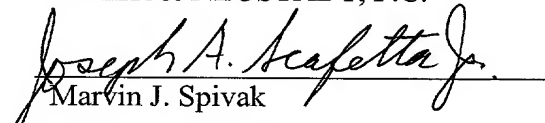
Responsive to the Notice to File Missing Parts of Application (Form PTO-1533) dated April 19, 2002, Applicants submit herewith a certified English translation of the application, as filed, in accordance with the provisions of 37 C.F.R. §1.52(d).

The required fee was paid at the time of filing of the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Marvin J. Spivak  
Attorney of Record

Registration No. 24,913

Joseph A. Scafetta, Jr.  
Registration No. 26,803



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220

10099957-064902



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/099,957	03/19/2002	Tetsuya Kawanishi	221027US2

CONFIRMATION NO. 5029

22850  
OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT PC  
FOURTH FLOOR  
1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VA 22202

## FORMALITIES LETTER



\*OC000000007919017\*

Date Mailed: 04/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

2005 JUN 19 2002



PART 2 - COPY TO BE RETURNED WITH RESPONSE

2025-03-07 14:00:00

Docket No. 221027US2



24

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

IN RE APPLICATION OF:

Tetsuya KAWANISHI

SERIAL NO: 10/099,957

FILED: March 19, 2002

FOR: OPTICAL FREQUENCY CONVERTER

**LETTER TO THE OFFICIAL DRAFTSMAN**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

SIR:

In response to the Notice to File Missing Parts of Nonprovisional Application, attached hereto please find 5 sheets of Substitute Formal Drawings. It is requested that the enclosed 5 sheets of Substitute Formal Drawings be entered to replace the drawings previously filed in this application.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Marvin J. Spivak  
Registration No: 24,913  
Attorney of Record



**22850**

MJS:dnf  
(703) 413-3000  
Fax: (703) 412-2220

2005 JUN 19 10 45 AM '02



23

DECLARED TRANSLATIONS

I, Kazuo SUZUKI, of Fukuda Patent Office of Kashiwaya Bldg. 2F. 6-13 Nishi-Shimbashi 1 chome, Minato-ku, Tokyo, Japan, hereby certify that I am the translator of the Japanese specification and Figures 1 to 5 of U.S. Patent Application Serial No. 10/099,957 filed March 19, 2002 and certify that the following is a true translation to the best of my knowledge and belief.

The undersigned declarant declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent issuing thereon.

June 17, 2002

Date

K. Suzuki

Signature

Kazuo SUZUKI

Name

2006T90" / 566600T  
10099957 . 061902